AMERICAN COMMUNITY SURVEY 2012 ACS 1-YEAR PUMS FILES

Prepared by American Community Survey Office U.S. Census Bureau December 17, 2013

I.) Overview of the Public Use Microdata Sample (PUMS)

The Public Use Microdata Sample (PUMS) contains a sample of actual responses to the American Community Survey (ACS). The PUMS dataset includes variables for nearly every question on the survey, as well as many new variables that were derived after the fact from multiple survey responses (such as poverty status). Each record in the file represents a single person, or--in the household-level dataset--a single housing unit. In the person-level file, individuals are organized into households, making possible the study of people within the contexts of their families and other household members. PUMS files for an individual year, such as 2012, contain data on approximately one percent of the United States population. As such, PUMS files covering a three-year period, such as 2010-2012, contain data on approximately three percent of the United States population, while PUMS files covering a five-year period, such as 2008-2012, contain data on approximately five percent of the United States population.

The PUMS files are much more flexible than the aggregate data available on American FactFinder, though the PUMS also tend to be more complicated to use. Working with PUMS data generally involves downloading large datasets onto a local computer and analyzing the data using statistical software such as R, SPSS, Stata, or SAS.

Since all ACS responses are strictly confidential, many variables in the PUMS file have been modified in order to protect the confidentiality of survey respondents. For instance, particularly high incomes are "top-coded", uncommon birthplace or ancestry responses are grouped into broader categories, and the PUMS file provides a very limited set of geographic variables (explained more below).

II.) Public Use Microdata Areas (PUMA)

While PUMS files contain cases from nearly every town and county in the country, towns and counties (and other low-level geography) are not identified by any variables in the PUMS datasets. The most detailed unit of geography contained in the PUMS files is the Public Use Microdata Area (PUMA).

PUMAs are special non-overlapping areas that partition each state into contiguous geographic units containing no fewer than 100,000 people each. The 2012 ACS PUMS files rely on PUMA boundaries that were drawn by state governments at the time of the 2010 Census. An interactive mapping application, TIGERweb, can be used to view PUMA boundaries from 2010. TIGERweb is available from the Census Bureau's web site at

http://www.census.gov/acs/www/data_documentation/other_resources/. Click "2010 Census Public Use Microdata Area (PUMA) Maps" to go to the TIGERweb webpage, then click the "TIGERweb" link on the left side of the webpage. A new window will open. To view PUMA boundaries used in the 2012 ACS PUMS, first select the "ACS 2012" option in the "Map Layers" overlay. Second, check the box next to the label for "PUMAs, UGAs, and ZCTAs" and expand this section to check only the box for "2010 Census Public Use Microdata Areas." You can now close or minimize the "Map Layers" overlay and zoom in on the map to view the 2010 PUMA boundaries and numbers.

The Missouri Census Data Center's MABLE/Geocorr12: Geographic Correspondence Engine with Census 2010 (and later) Geographies (http://mcdc.missouri.edu/websas/geocorr12.html) has a tool that allows you to enter the geography you are interested in and then it supplies you with the PUMA codes.

III.) PUMS Documentation

The PUMS Documentation page

(http://www.census.gov/acs/www/data_documentation/pums_documentation/) includes the following documents:

- Subjects in the PUMS
- PUMS Code Lists
- PUMS Top Coded and Bottom Coded Values

This document contains tables that show the top code only or the top code and bottom code values for each of these housing and person variables by state.

• PUMS Data Dictionary

Information on PUMS variables.

• PUMS Estimates for User Verification

PUMS estimates for selected housing and population characteristics are included on the ACS website to assist data users in determining that they are correctly using the weights to compute estimates. These estimates are referred to as PUMS Control Counts. When data users have doubts about the way they are computing estimates, they should attempt to reproduce the estimates that are provided in the files. The standard errors provided in this document were computed using the replicate weight method.

• Accuracy of the PUMS

Detailed descriptions of the sampling methodology, weighting methodology, confidentiality, and standard errors for the PUMS.

IV.) Getting PUMS data

ACS Website

PUMS files can be accessed via the ACS website at http://www.census.gov/acs/www/data_documentation/pums_data/

American FactFinder

PUMS Files are also accessible via American FactFinder at http://factfinder2.census.gov/

DataFerrett

It is also possible to get PUMS data from the Census Bureau's DataFerrett, which has the additional feature of being able to make tables and perform basic analysis online. This tool is particularly useful for researchers who need a quick statistic or do not have access to

statistical software. DataFerrett is available at http://www.census.gov/acs/www/data_documentation/data_ferrett_for_pums/

V.) PUMS file structure

The ACS questionnaire contains "household" items that are the same for all members of the household (such as the number of rooms in the home) and "person" items that are unique for each household member (such as age, sex, and race). The ACS PUMS files are made available in this same structure. Researchers who are analyzing only household-level items can use the household files, whereas those using only person-level variables can use the person-level files.

Data users should note that PUMS files containing data for the entire United Sates (in contrast to individual state and state-equivalent files) are separated into multiple data files. These files are separated by unique records that must be concatenated in order to create a complete file. For example, users downloading the 2012 ACS 1-year PUMS file of United States Population Records will notice an "a" file and a "b" file. Each file contains about half the population records in the 2012 1-year PUMS dataset of the United States. Below are instructions for concatenating the two PUMS person-level files, in the form of an italicized SAS program and pseudo-code.

Concatenate the two **person-level** files using the set statement:

```
data population;
set psam_pusa psam_pusb;
run:
```

The 2012 ACS 1-year PUMS file of the United States Housing Records also contains an "a" file and a "b" file upon downloading. To create a complete housing-level file, the two files must be concatenated. Below are instructions for concatenating the two PUMS household-level files, in the form of an italicized SAS program and pseudo-code.

Concatenate the two **household-level** files using the set statement:

```
data housing;
set psam_husa psam_husb;
run:
```

Some data users will need to use household and person items together--for instance, to analyze how the number of rooms in a home varies by a person's age. This type of analysis will require the merging of the household and person files. This merger must rely on the SERIALNO variable, which is the same in the household and person files. Below are instructions for merging the housing and population PUMS files, in the form of an italicized SAS program and pseudo-code.

Use the variable SERIALNO to merge population and housing files.

1. First make sure the files are sorted by SERIALNO. *proc sort data=population;*

```
by serialno;
run;
proc sort data=housing;
by serialno;
run;
```

2. Then merge the two files together using SERIALNO as a merge key.

```
data combined;
merge population (in=pop) housing;
```

/*In SAS, the 'in=' option will allow you to keep only those housing units that have people*/

```
by serialno;
```

/*This SAS statement keeps only those housing units that were in the population file*/

```
if pop;
run;
```

You should not merge the files unless the estimates you want require a merge. Note that there are many estimates that can be tabulated from the person file and from the household file without any merging. The suggested merge will create a person level file, so that the estimate of persons can be tallied within categories from the household file and the person weights should be used for such tallies.

Please note that housing characteristics cannot be tallied from this merged file without extra steps to ensure that each housing weight is counted only once per household.

VI.) Weights in the PUMS

The ACS PUMS is a weighted sample, and weighting variables must be used to generate accurate estimates and standard errors. The PUMS file includes both population weights and household weights. Population weights should be used to generate statistics about individuals, and household weights should be used to generate statistics about housing units. The weighting variables are described briefly below.

PWGTP: Person's weight for generating statistics on individuals (such as age).

WGTP: Household weight for generating statistics on housing units and households (such as average household income).

WGTP1-WGTP80 and PWGTP1-PWGTP80: Replicate weighting variables, used for generating the most accurate standard errors for households or individuals.

PWGTP and WGTP can be used both to generate the point estimates and to generate standard errors when using a generalized formula. Replicate weights can be used just to calculate "direct standard

errors." Direct standard errors are expected to be more accurate than generalized standard errors, although they may be more inconvenient for some users to calculate. Both generalized and direct standard errors are explained in more detail in the Accuracy of the PUMS document (http://www.census.gov/acs/www/data_documentation/pums_documentation/).

Each housing unit and person record contains 80 replicate weights. To use the replicate weights to calculate an estimate of the direct standard error, first form the estimate using the full PUMS weight, then form the estimate using each of the 80 replicate weights--providing both the full PUMS estimate and 80 replicate estimates. These should then be entered into the following formula, which is explained in more detail in the Accuracy of the PUMS document:

$$SE(X) = \sqrt{\frac{4}{80} \sum_{r=1}^{80} (X_r - X)^2}$$

Where X_r is a replicate estimate from X_1 to X_{80} , and X is the full PUMS weighted estimate.

The technical explanation of the ACS replicate weights is in Chapter 12 of the Design and Methodology document found at:

http://www.census.gov/acs/www/methodology/methodology_main/. For more information on the theoretical basis, please reference Fay, R. and Train, G. (1995), "Aspects of Survey and Model-Based Postcensal Estimation of Income and Poverty Characteristics for States and Counties," Proceedings of the Section on Government Statistics, American Statistical Association, pp. 154-159, 1995.

Please note that many estimates generated with PUMS will be slightly different from estimates for the same characteristics published in American FactFinder. These differences are due to the fact that the PUMS files include only about two-thirds of the cases that were used to produce estimates on American FactFinder, as well as additional PUMS edits. More information on the PUMS sample design is available in the "Accuracy of the PUMS" document (http://www.census.gov/acs/www/data_documentation/pums_documentation/).

VII.) Variable changes in the 2012 1-year PUMS file

The 2012 1-year PUMS includes all of the variables that were included in the 2011 1-year PUMS. There were a number of variables with new codes, modified codes, or cosmetic changes to variable labels or value labels. See the 2012 1-year PUMS Data Dictionary for a complete listing of the variables and values contained in the 2012 1-year PUMS data file.

If PUMS users want to compare 2012 PUMS estimates to 2011 PUMS, or want to reuse a program written for 2011 PUMS data, the following table provides some information about twenty-two variables with changes between 2011 and 2012. For more details on the changes see both the 2011 and 2012 1-year PUMS Data Dictionaries and Code Lists at

http://www.census.gov/acs/www/data_documentation/pums_documentation/.

Variable	Explanation of change from the 2011 ACS PUMS
ANC1P, ANC2P, LANP, OCCP, SOCP	As a result of data disclosure requirements, the categories for these variables were recollapsed for 2012. See the 2011 and 2012 1-year PUMS code lists at http://www.census.gov/acs/www/data_documentation/pums_documentation/ for additional information on the categories and their values.
CITWP, MARHYP, YOEP	Year of last marriage, year of naturalization and year of entry use categories that usually represent a single calendar year. As a result of data disclosure requirements, the categories for these variables were recollapsed for 2012. See the 2011 and 2012 1-year PUMS code lists at http://www.census.gov/acs/www/data_documentation/pums_documentation/ for additional information on the categories and their values.
FER	Problems in the collection of data on women who gave birth in the past year (FER) in 2012 led to suppressing this variable in 59 PUMAs within states Florida, Georgia, Kansas, Montana, North Carolina, Ohio and Texas. A code of 8 was applied to these cases. This only affects data from the 2012 1-year PUMS. See the Estimation section of the Accuracy of the Data for the 2012 1-year PUMS at http://www.census.gov/acs/www/data_documentation/pums_documentation/ for more information on PUMS estimates using FER.
INDP	Industry code 3290 was collapsed into code 3190 for the 2012 PUMS. Unused code 0480 was removed.
MIGSP and POBP	As a result of data disclosure requirements, several of the country categories for the Migration recode and the Place of birth recode were recollapsed for 2012. All of the state codes remained the same as the prior year PUMS. See the 2012 PUMS code lists at http://www.census.gov/acs/www/data_documentation/pums_documentation/ for additional information on the categories and their values.
NAICSP	NAICS Industry codes 333M and 333S were collapsed into the new code 333MS for 2012. Unused code 21S was removed.
PLM	Problems in data collection led to the suppression of this variable for Puerto Rico for 2012. A code of 9 was applied to these cases.
POWSP	Code 301 (Canada) was added and code 213 (Iraq) was removed and collapsed into code 254 (Other Asia, not specified).
PUMA, MIGPUMA,	PUMA boundaries were redrawn based on the 2010 Census data. MIGPUMA and POWPUMA are composed of sets of the new PUMAs. Most of the 2010-based

POWPUMA	PUMAs cannot be mapped directly to the 2000-based PUMAs used for the 2011 PUMS. Tiger maps show the boundaries for both sets of PUMAs. See: http://www.census.gov/acs/www/data_documentation/geography/ , select "Other Mapping Resources", then select "2010 Census Public Use Microdata Area (PUMA) Maps" then select "Tigerweb".
RAC2P and	As a result of data disclosure requirements, there are major changes to the
RAC3P	categories for RAC2P and RAC3P for 2012. See the 2012 PUMS code lists at
	http://www.census.gov/acs/www/data_documentation/pums_documentation/ for
	information on the categories and their values.
RACNHPI	This variable existed in the previous year PUMS but has been removed for 2012. It
	has been replaced by RACNH and RACPI.
TEL	Problems in the collection of data on the availability of telephone service (TEL) in
	2012 led to suppressing this variable in six PUMAs in Georgia. A code of 8 was
	applied to these cases. This only affects data from the 2012 1-year PUMS. See the
	Estimation section of the Accuracy of the Data for the 2012 1-year PUMS at
	http://www.census.gov/acs/www/data_documentation/pums_documentation/ for
	more information on PUMS estimates using TEL.

Variables new to the 2012 PUMS 1-year data file: SSPA, RACNH, and RACPI.

VIII.) Additional Information

The Census Bureau occasionally provides corrections or updates to PUMS files. We notify users of these updates via the Census Bureau's E-mail Updates system (https://service.govdelivery.com/service/subscribe.html?code=USCENSUS_C12) and on the ACS errata page (https://www.census.gov/acs/www/data_documentation/errata/).

Please contact acso.users.support@census.gov with any PUMS-related questions.